

Our Docket No: 005029.P018C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3/A
OKW
9/4/03

In re Application of:)
Hartley et al.)
Application No: 09/834,334)
Filed: April 12, 2001)
For: Method and Apparatus for Assessing)
the Security of a Computer System)

Examiner: Not yet assigned

Art Unit: Not yet assigned

PRELIMINARY AMENDMENT

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination of the above-captioned case, Applicants respectfully request the Examiner to enter the following amendment and to consider the following remark:

In the claims:

Presented below are the claims, as amended, with changes entered and not marked.

FIRST CLASS CERTIFICATE OF MAILING
(37 C.F.R. § 1.8 (a))
I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail with
sufficient postage in an envelope addressed to the Assistant
Commissioner for Patents, Washington, DC 20231.
On July 13, 2001
Date of Deposit
Brit Worley
Name of Person Mailing Correspondence
Brit Worley
Signature
7/13/01
Date



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Attorney's Docket No.: 005029.P018C

Patent

In re the Application of: Hartley et al.

(inventor(s))

Application No.: 09/834,334

Filed: April 12, 2001

For: Method and Apparatus for Assessing the Security of a Computer System

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

X No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	*20	Minus	**20	0	X9	\$	X18	\$ 0
Indep. Claims	*2	Minus	***2	0	X40	\$ 0	X80	\$ 0
First Presentation of Multiple Dependent Claim(s)					+130	\$ 0	+260	\$ 0
					Total Add. Fee	\$ 0	Total Add. Fee	\$ 0

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

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Date of Deposit

April M. Worley
Name of Person Mailing Correspondence

Signature

7/13/01
Date

_____ A check in the amount of \$_____ is attached for presentation of additional claim(s).
X Applicant(s) hereby Petition(s) for an Extension of Time should one be necessary pursuant to 37 C.F.R. § 1.136(a).

_____ A check for \$_____ is attached for processing fees under 37 C.F.R. § 1.17.

_____ Please charge my Deposit Account No. 02-2666 the amount of \$_____.

A duplicate copy of this sheet is enclosed.

X The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (**a duplicate copy of this sheet is enclosed**):


X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

X Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: _____

7/13/11



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